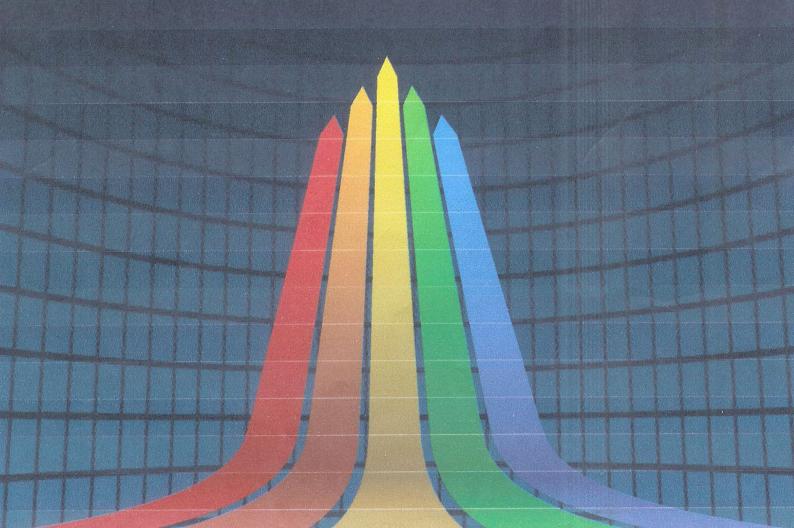


# ROADMAP PUBLIC HIGHER EDUCATION REFORM



#### ROADMAP FOR PUBLIC HIGHER EDUCATION REFORM

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- D. LIST OF DEVELOPING STATE UNIVERSITIES AND COLLEGES

#### **ABBREVIATIONS**

CHED Commission on Higher Education

CMO CHED Memorandum Order

COEs/CODs Centers of Excellence/Centers of Development

CSIs CHED Supervised Institutions

DAP Disbursement Acceleration Program
DBM Department of Budget and Management
EDCOM Congressional Commission on Education

FDP Faculty Development Program
GAA General Appropriations Act

GIA Grant in Aid

GIFMIS Government Integrated Financial Management Information System

HEDF Higher Education Development Fund

HEIS Higher Education Institutions
HERA Higher Education Reform Agenda

IAU Internal Audit Unit

IQuAME Institutional Quality Assurance through Monitoring and Evaluation

LLU Legislative Liaison Unit

LUCs Local Universities and Colleges

MRU Media Relations Unit

NAPC National Anti-Poverty Commission

NFF Normative Funding Formula

NHERA National Higher Education Research Agenda

OBE Outcomes Based Education

PESS Philippine Education Sector Study

PCER Presidential Commission on Educational Reform

PDP Philippine Development Plan

QA Quality Assurance

R&D Research and Development

RDE Research Development and Extension

RUS Regional University System

STUFAPs Student Financial Assistance Programs

#### INTRODUCTION

In line with the thrusts of the Philippine Development Plan 2011-2016 and as articulated in the CHED Strategic Plan, reforms in higher education are aimed at maximizing the system's contribution towards developing competent and high-level human resources and generating knowledge and technologies needed for advancing the country's national development and competitiveness. These urgently needed workable changes are systematically packaged and harmonized into the Administration's Higher Education Reform Agenda (HERA) which seeks to exact from the entire higher education system higher accountability of outcomes and impacts.

This unified agenda of reform is CHED's response to three fundamental and long-running weaknesses of Philippine higher education:

- Lack of overall vision, framework and plan for higher education
- deteriorating quality of higher education
- limited access to quality higher education by those who need it most and have potentials to maximize its benefits

In addressing these weaknesses, HERA shall be underpinned by the vision and organizing thrusts of the Administration's agenda of accountability:

- Expanded and enhanced career and life chances and choices for students; and
- Higher education and its institutions placed in the full service of national development.

The policy package will pursue the twin strategic roles of higher education in national development outlined in the Philippine Development Plan 2011-2016:

- As an instrument for poverty alleviation, and
- as a vehicle for technologically-driven national development and global competitiveness

These reforms proposed for implementation in the next six years reaffirm and build on reform measures that were proposed in the Congressional

Commission on Education (1992), the Philippine Education Sector Study (1998), the Presidential Commission on Educational Reform (2000), the Higher Education Development Project (HEDP) in 2004-2009, and the Presidential Task Force for Education (2008).

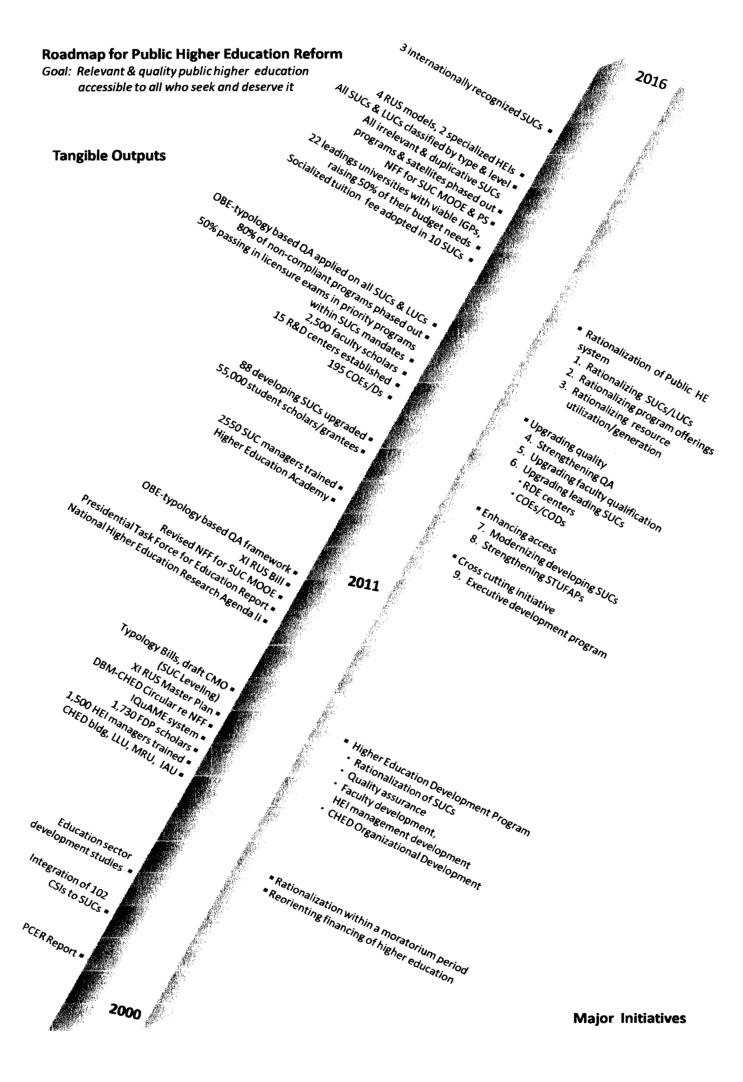
Thus, the general objectives of higher education reform are:

- A. To rationalize higher education, improve its internal and external efficiency, optimize resource utilization and maximize resource generation;
- B. to improve quality and standards of higher education, raise the level of educational outcomes and increase the social relevance of its developmental functions; and
- C. to expand access to quality higher education among lower income and disadvantaged groups

The roadmap which reflects the vision and directions of the HERA for public higher education is accompanied by an Action Plan that covers a six-year period (2011-2016), lays out the initiatives and performance measures, as well as the significant milestones to be achieved towards the realization of the needed reforms.

#### VISION FOR PUBLIC HIGHER EDUCATION

The publicly-funded higher education institutions, especially the state universities and colleges, made more capable and accountable to the State through enhanced public investments are main instruments in developing high-level professions to meet the manpower needs of industry, public service and civil society. Through their triad of functions, e.g. instruction, research and extension, SUCs significantly contribute to the urgent tasks of alleviating poverty, hastening the pace of innovations, creating new knowledge and functional skills; and increasing the productivity of the workplace and the dynamism of communities.



#### **RATIONALE**

It is generally recognized that compared to higher education, basic education yields greater social returns and its provision is the more fundamental duty of the state. As the present Administration gears up to implement the K-12 program in order to make the country's basic education internationally comparable and enable it to adequately prepare secondary school graduates for higher education or for the world of work, scarce government resources will understandably have to be prioritized for basic education. It may be presumed, however, that in a market-based context, the robust private education subsector which has historically contributed significantly to the delivery of higher education, shall continue to do so, provided appropriate government policies are put in place to ensure a levelled playing field for both private and public institutions.

The main rationale for the creation and maintenance of state universities and colleges is to provide access to more affordable, good quality education for the poor and disadvantaged, to ensure equity of access to higher education while at the same time serving as instruments of development in their regional and national contexts. SUCs should therefore ideally focus on priority programs in instruction, research and extension, which the private sector cannot adequately provide. These HEIs, as a matter of priority, should also cater to the geographic areas that are not covered by the private sector. But the unplanned and unchecked proliferation of SUC campuses and programs has resulted in uneven distribution and provision of education services and has given rise to inefficiently utilized resources and duplicative programs. Moreover, without corresponding increase in funding, the expansion of SUCs campuses, programs and enrolments would spread resources thinly across a big number of beneficiaries, leading to underinvestment per capita and poor quality of education that undermine the capacity to perform their special developmental roles.

## PUBLIC HIGHER EDUCATION REFORM OBJECTIVES AND INITIATIVES, 2011-2016

In view of the above, the priority thrusts and deliverable actions of the publicly-funded HEIs shall be guided by or conform to the critical areas of directional changes outlined by the HERA. (Appendix A) The objectives of

improving efficiency, upgrading quality and expanding access will be achieved through the implementation of a set of nine reforms and strategic initiatives. These are shown below.

#### Public Higher Education Reform Overall Framework

Objective A. Improve efficiency: Rationalize the public higher education system Objective B. Upgrade quality of public higher education

Objective C. Enhance access to quality higher education

- Rationalizing the number, distribution, and growth of SUCs & LUCs
  - --mapping
  - ~piloting of governance and restructuring models like amalgamation
- 2. Rationalization of SUC/LUC program offerings
  - --typology
  - --SUC leveling
  - --review, phase out/closure of inefficient, duplicative programs
  - --development of priority programs
- Rationalizing resource
  utilization and maximizing
  resource generation by
  SUCs
  - -normative financing
  - --socialized tuition fee schemes
  - --assets inventory and management for resource generation
  - improving public financial management of SUCs & synchronizing this with GIFMIS

- 4. Strengthening quality assurance in SUCs and LUCs
  - --monitoring & evaluation
  - --phase out/closure of substandard programs
  - --accreditation
- Upgrading qualification of faculty
  - --Faculty Development Program
- Upgrading leading SUCs to international standards
  - --Establishing R&D centers with state- of-the-art facilities
  - -- Grants-in-Aid for RDE
  - -- Centers of Excellence/ Development Program

- 7. Modernizing facilities of developing SUCs
- 8. Strengthening Student Financial Assistance Programs

9. Strengthening Public HEI Management thru Executive Development

### Objective A. Improve efficiency: Rationalize the public higher education system

Three interventions are aimed at rationalizing the system of public higher education. The first will focus on governance and restructuring, the second on program offerings and the third on allocation of public subsidy and resource generation by SUCs.

#### 1. Rationalizing the number, distribution and growth of SUCs and LUCs

Streamlining and restructuring the system will necessitate concerted advocacy among legislators, administrators and the general public. The rationalization activities to be undertaken will lay down the bases for consensus building on minimum standards and conditionalities for creation and conversion of public colleges and universities. These include:

- Mapping of programs and institutions to identify areas of program duplication, overserved and underserved areas, and redirect SUCs/LUCs programs to priority disciplines and towards geographic areas that are not adequately covered by the private or existing higher education institutions, and
- Pilot implementation of governance and restructuring models. The outcomes of the Integration Program that integrated 102 CHED-supervised institutions to host SUCs, DepEd or TESDA, and the phase-out of non-laboratory programs in SUCs should be reviewed to identify learnings that could inform and guide the design and implementation of various models of governance and restructuring. One such model that has been piloted is amalgamation of SUCs in a region into a Regional University System. This is currently being piloted in Region XI and could be replicated in other regions. Another model to be studied and tried on a pilot basis is the specialized institution that would cater to the needs of and work closely with key industry partners in identified vital growth areas.

#### 2. Rationalizing of SUCs/LUCs program offerings

This will be effected through:

• Implementation of the typology of HEIs. A typology of HEIs has

been developed which classifies and defines the roles of degree level institutions. Once applied, this rational classification would minimize duplication of program offerings and promote specialization and complementation. It could also provide a basis for identifying SUCs with the greatest potential for development to international standards which should be targeted for investments for quality improvement

- Review and evaluation of SUCs/LUCs mandates and their program offerings and phase out/closure of programs outside the mandates of the institutions
- Phase out/closure of inefficient and duplicative programs particularly those that tend to crowd out private provision, and
- Formulation and offering of programs that are responsive to industry needs in the government's five priority areas for job generation and economic development-semiconductor and electronics, business process outsourcing, tourism, agriculture and fisheries, and general infrastructure.
- 3. Rationalizing resource utilization and maximizing resource generation by SUCs

This component will include:

• Expanded implementation of the Normative Funding Formula (NFF) in the allocation of SUCs budget. The NFF applies a set of prescribed objective criteria and norms that are designed to promote and reward quality instruction, research and extension services, financial prudence and responsibility. It takes into account quality indicators (low quality programs receive less funding), and government priorities for national development. In the past 6 years, the formula has been applied in the allocation of a small percentage of the Maintenance and Other Operating Expenses (MOOE) of SUCs. The formula shall be revised to factor in the typology and new priorities for national development, reflect updated student cost estimates, and incorporate sanctions to prevent duplication (and

crowding out) of private sector provision as well as duplication of programs within a province or region. Its implementation shall be expanded to include all the MOOE and Personal Services allocation of SUCs.

- Design and adoption of school fee scheme that would allow cost recovery without limiting access among the poor, guided by the principles underlying the socialized tuition fee scheme where students from financially capable families pay a larger share of the cost or tuition fee structure. This shall be supported by student financial assistance for students from low income families.
- Assets inventory, management and development for resource generation. SUCs shall be encouraged and assisted to prepare and implement business or development plans that would make use of idle lands and assets, and to engage in income generating projects, in partnership with the private sector if feasible. The objective is to enable SUCs to become more self reliant financially and less dependent on government subsidy.
- Improving public financial management of SUCs and synchronizing this with GIFMIS. A Management Audit of SUCs should be conducted, focusing on their internal control systems, among others. The project will also include digitization and automation of SUCs operations, development of systems and procurement of requisite equipment.

#### Objective B. Upgrade quality of public higher education

Three components supportive of quality improvements in the public higher education system shall be implemented: Strengthening the quality assurance in SUCs and LUCs, improving quality of teaching through faculty development, and upgrading leading SUCs to international standards.

#### 4. Strengthening quality assurance in SUCs and LUCs

Unlike private HEIs, SUCs and LUCs are able to start new academic programs on the authority of their individual boards, without going through the process of getting permit and recognition from the Commission on Higher Education. Intensive monitoring and evaluation of SUCs and LUCs and their programs shall be conducted to ensure compliance with minimum standards. Non-compliant or sub-standard programs shall be ordered closed or phased out. SUCs and LUCs shall also be encouraged and supported to have their programs accredited.

#### 5. Upgrading qualification of faculty

One of the causes of poor quality in higher education is the inadequate preparation of teachers. This component seeks to improve the quality of higher education by upgrading the academic qualifications of university and college faculty. Of the 39,532 SUC faculty, only 58% or 22,966 have graduate degree. Through the Faculty Development Program, scholarships shall be provided to enable faculty to obtain master's or doctorate degree in priority fields including natural sciences, mathematics, engineering, information technology, and social sciences, and/or to avail of Continuing Professional Education programs.

#### 6. Upgrading leading state universities to international standards

The strategy is to concentrate public resources in a few institutions in order to achieve critical mass and create appreciable impact. Investments shall be focused on a realistic number of institutions that could be developed into globally competitive universities.

#### This component includes:

Establishment of R & D centers with state of the art facilities. Local
institutions that are most productive in terms of intellectual property
(IP) generation (publications and patented products) shall be
recognized and provided technical and financial assistance to further
build up their research capacity and enhance their research
productivity. The Commission has initially identified 22 leading
SUCs to be prioritized for Capital Outlay allocation for the

acquisition of state of the art facilities and equipment as well as for grants in aid for R & D and extension.

- Grants-in-Aid for Research, Development and Extension (RDE). The identified R & D Centers shall be eligible for grants for the conduct of basic and applied, interdisciplinary research aimed at intellectual property generation in their known field(s) of excellence and on identified priority areas; initiate collaborative R & D with foreign counterparts/regional or area partners and act as major linkage to international programs; and provide high quality post graduate education and training environments for researchers. GIAs shall also be made available to capable public colleges and universities for Extension programs that are development/adaptation/transfer of technologies for enhancing productivity and quality of life, improving social services, promoting environmental protection, climate change mitigation and disaster risk reduction.
- Centers of Excellence and Centers of Development (COEs/CODs) Program. This is a continuing program aimed at promoting and rewarding achievements in the advancement of knowledge in the various disciplines. COEs/CODs are HEI colleges or departments that are identified and recognized by CHED to serve as models of excellence in particular disciplines and as resource centers for the other HEIs. Support to the COEs/CODs includes provision for student scholarships, faculty development, library and laboratory upgrading, research towards the development of academic programs in cutting edge disciplines, production of instructional materials and implementation of networking and linkaging activities.

#### Objective C. Enhance access to quality higher education

A significant number of SUCs, particularly those located in less urbanized regions outside Metro Manila and Metro Cebu, cater largely to students from poor families. These will be provided with funds to upgrade their facilities and improve their capacity to deliver quality tertiary education. Students from the focus communities identified by the National Poverty Commission (NAPC) as poorest of the poor, shall also be given priority for student financial assistance to enable them to enrol in the leading SUCs or private institutions of their choice.

#### 7. Modernizing facilities in developing SUCs

Funding assistance for facilities upgrading shall be provided to developing SUCs – those classified as Level III, II and I, particularly those that are located outside the highly urbanized areas. The assistance shall enable these institutions to improve their capacity to deliver identified priority programs that do not duplicate those already being adequately offered by private or existing campuses.

#### 8. Strengthened Student Financial Assistance Programs (STUFAPs)

The ongoing student financial assistance programs consist of merit-based scholarships, essentially need-based grants-in-aid, and loans. The system of financial aid requires major reforms to improve its equity, efficiency and effectiveness. This will be streamlined and targeted better to benefit the really poor and disadvantaged. The selection system will be improved and administrative procedures simplified.

As more funds become available, the number of slots for financial assistance shall be increased. STUFAPs shall serve as a mechanism for providing access through direct channelling of support to student beneficiaries. Students coming from the NAPC focus communities shall be prioritized and given sufficient tuition and allowances to enable them to enrol in leading SUs or private institutions of their choice.

#### **Cross-cutting**

#### 9. Strengthening Public HEI Management

An Executive Development Program will be designed and implemented to enhance the capability of managers of public HEIs to implement the above reforms and initiatives. The EDP will address identified training needs of top administrators, middle level academic managers, RDE managers, managers of administrative services, and management trainers. Specialized courses will be developed and delivered in such topics as strategic planning and executive leadership, benchmarking, quality management, curriculum development, financial management, entrepreneurial management, income generation, personnel management and others.

The EDP will focus on the SUCs, but may also involve private HEIs so that experiences can be shared. The experiences gained by the private sector will provide valuable learnings and insights for the SUCs and may foster closer ties and coordination between the public and private sectors. Senior managers of top public and private HEIs will be involved in the development and trialling of the modules and will participate in workshops focusing on specialized topics and experience sharing.

The establishment of a Higher Education Academy and the institutionalization of an Executive Career System for SUCs shall be conceptualized and initiated.

#### MAJOR TARGETS AND MILESTONES

#### 1. Rationalization

GIS based map of HEIs and programs completed by 2011, and continuously updated thereafter.

Blueprint for public HEI system developed by 2012;

Four (4) Regional University Systems (RUS) and two (2) specialized HEIs pilot tested from 2012 to 2016; RUS bill in process during the Plan period.

The typology of HEIs framework developed by 2011 and initially implemented in 2012, and all SUCs and LUCs classified by 2013

SUC Leveling Phase II conducted; all SUCs classified by level of development/ performance by 2012

SUCs programs that are outside their mandates, duplicative and inefficient identified by 2011; 30% of these ordered for phase out/closure by 2012, and 100% in 2013-2016; Inefficient and duplicative extension campuses and programs closed/phased out by 2016

New academic programs developed and launched in critical high level professional disciplines, e.g. geology, meteorology by 2013, & increased enrolment in the said disciplines in 2013-2016.

Generic socialized tuition fee scheme designed for SUCs by 2013, and piloted in 10 SUCs in 2014-2016

Normative funding formula revised by 2012, revised formula applied on 100% of SUCs MOOE and simulated on Personal Services by 2013, then fully applied on both MOOE and PS by 2016

22 leading SUCs with viable income generating projects by 2013, with 50% of their budgetary requirements accounted for by internally generated income by 2016

Plan for digitization and automation of SUC operations formulated by 2012; requisite systems and equipment in place by 2013, and the Plan fully implemented in accordance with the GIFMIS – in 20% of SUCs by 2014 in all SUCs by 2016

#### 2. Upgrading quality

All authorized unaccredited programs evaluated by 2012; 20% substandard and non-performing programs closed or phased out in 2012 and 80% substandard and non-performing programs closed or phased out from 2013 to 2016

Improved performance of graduates of leading SUCs in licensure examinations in priority disciplines (within their mandated areas) up to 50% passing by 2016

85 SUCs programs supported for accreditation in 2012, and 340 programs from 2013 to 2016

500 SUC faculty scholars supported in 2012 and 2000 from 2013 to 2016

- 5 Research and Development Centers established in SUCs and supported in 2012, and 10 from 2013 to 2016
- 15 CHED-funded SUCs R&D projects implemented in 2012, and 30 during 2013-2016
- 6 SUCs extension programs conducted for the NAPC identified focus municipalities for adaptation transfer of technologies for enhancing

productivity and quality of life, improving social services to include health education, sanitation and feeding programs, and promoting environmental protection, climate change mitigation and disaster risk reduction.

45 COEs/Ds in SUCs identified & processed with 25 supported in 2012; 150 processed with 100 supported from 2013-2016

3 SUCs join the ranks of leading universities in the world by 2016

#### 3. Enhancing access to quality higher education

~88 developing SUCs outside highly urbanized areas upgraded;

Harmonized/integrated scheme of student financial assistance programs instituted through inter-government agency collaboration

Improved clientele targeting of the expanded scholarship, grants and student loans and other forms of student financial assistance expected to provide in 2012 financial assistance to 11,360 students from poor and disadvantaged families, especially in NAPC focus communities, and to 44,000 students from 2013-2016

#### 4. Cross cutting: Executive Development Program

550 SUCs managers trained in 2012; and 2000 HEI managers trained in 2013-2016;

Establishment of Higher Education Academy and institutionalization of Executive Career System for SUCs initiated by 2014

PROGRAMS/ PROJECTS							
ACTIVITIES	OBJECTIVES	TARGET OUTPUT(S)	DESIRED OUTCOME(S)	TIMELINE	IMPLEMENTING AGENCY(IES)	FUND SOURCE	RISKS & RISK MITIGATION PLAN
A. RATIONALIZATION OF P	RATIONALIZATION OF PUBLIC HIGHER EDUCATION SYSTEM						
1. Rationalizing the	To restructure the public		Improved system				
number, distribution,	higher education system		effectiveness and				
and growth of SUCs &	(SUCs/LUCs) primarily thru		efficiency ,				
SDM1.	amalgamation of institutions		maximizing use of				
	into Regional University		scarce resources				
1.1 Mapping of Public	Systems (RUS) & establishment	<ul> <li>updated GIS based map</li> </ul>	for providing	6 years	CHED	HEDF	Risk: Resistance from concerned politicians and
HEIs and Programs	of specialized institutions		access to quality	(2011-2016)			SUC officials
		<ul> <li>Blueprint for public higher</li> </ul>	public higher	2 years			Mitigation: Continuous advocacy & concensus
		education system (PHE)	education	(2011-2012)			building
		• 4 RUS pilot tested		6 years			
1.2 Piloting of				(2011-2016)	CHED & SUCS	HEDF	
Governance and		<ul> <li>2 specialized universities</li> </ul>		5 years	in regions XI,		
Restructuring Models		pilot tested		(2012-2016)	CAR, II, X		
2. Rationalization of							
SUC/LUC Program							
offerings							
				,			Legistance to resistantification of expertitions of the
2.1 Typology of HEI	To develop and implement a	Typology developed and	_	6 years	CHED	HEOL	NEW. News State to reciassification afforms contention
2.2 SUC leveling	rational classification system	implemented in PHE		(2011-2016)	CHED, DBM &		SUC administrators & politicians
	to promote specialization and	system;			PASUC		Mitigation: Consultations & dialogues
	complementation, and minimize	All SUCs & LUCs classified					
	duplication of program offerings						
2.3 Review,	To phase out/close inefficient,	All irrelevant, inefficient		6 years	SUCs	GAA	Risk: Resistance from officials and students of
Phase out/closure	duplicative programs	and duplicative SUC		(2011-2016)		HEDF	affected SUCs
of inefficient,		programs ordered for					Mitigation: Active participation of Board in decision
duplicative programs		phase out/closure					making and implementation; provision of support
							to affected students (e.g. facilitating transfer)
2.4 Development	To develop academic	8 programs in priority		5 years	CHED	HEDF	Risk: Dearth of faculty specializing in the said fields
of priority programs	programs in priority fields	disciplines developed	_	(2012-2016)			would delay implementation  Miscation Continuing development may have to be
							preceded or accompanied by faculty development

ACTION PLAN:	<b>ACTION PLAN: PUBLIC HIGHER EDUCATION</b>		REFORM, 2011-2016				
PROGRAMS/ PROJECTS ACTIVITIES	OBJECTIVES	TARGET OUTPUT(S)	DESIRED OUTCOME(S)	TIMELINE	IMPLEMENTING AGENCY(IES)	FUND SOURCE	RISKS & RISK MITIGATION PLAN
Rationalizing     resource utilization     & maximizing resource     generation by SUCs							
3.1 Normative	To strengthen the normative	Revised NF formula and its	Improved SUC	6 years	CHED	HEDF	Risk: Submission of inaccurate (bloated) data
Financing (NF)	funding (NF) formula and expand its application to	application on the MOOE and PS allocation of SUCs	capacity to generate resources and	(2011-2016)			Mitigation: Institution of counter checking mechanisms; rigorous data validation by regional
	include MOOE & Personal		reduced dependence				officials of DBM, CHED & PASUC
	Services allocation of SUCs		on government subsidy for their				
3.2 Development	To design/implement a school fee   Socialized tuition fee scheme	Socialized fuition fee scheme	operations	7.00	PIDS & selected	HEDE	
and implementation	scheme to allow cost	developed and adapted in	-	(2012-2016)	SUCS		
of socialized tuition	recovery without limiting	10 SUCs on pilot basis					
fee scheme	access among the poor						
3.3 Assets inventory	To assess the assets of SUCs,	Business plans for utilization		6 years	PASUC & SUCs	HEDF	Risk: Production/commercialization activities
and management for	formulate business plans/	of SUC assets and viable		(2011-2016)			could divert attention from academic focus and
resource generation	strategies for their utilization,	IGPs in 10 SUCs					affect performance in instruction and research
	and put up income generating						Mitigation: Tapping private and industrial sector
	projects (IGPs)		_				in order to minimize involvement of SUC academic/
							admin personnel
	To establish public-private	2 Eco-Education Zones		4 years			
	partnerships in resource			(2013-2016)			
	generation and management (PPPs)						
3.4 Digitization and	To digitize and automate SUC	<ul> <li>Requisite equipment and</li> </ul>	More efficient	4 years	CHED, SUCS &	HEDF	Risk: Low level of technological readiness of some
automation of SUC	operations and participate in	systems in place	reporting and	(2013-2016)	DBM	GAA	SUCs could hinder or slow down implementation
operations in line	the implementation of GIFMIS	<ul> <li>Performance standards</li> </ul>	monitoring of				Mitigation: Targeted capability building for lagging
with GIFMIS		cascaded	physical and				SUCs
		<ul> <li>Manual on use of SUC</li> </ul>	financial				
		internally generated income	performance of				
		• Evaluation of internal control	SUCs and the			·	
		systems of SUCs	sector				

ACTION PLAN:	<b>ACTION PLAN: PUBLIC HIGHER EDUCATION</b>		REFORM, 2011-2016				
PROGRAMS/ PROJECTS ACTIVITIES	OBJECTIVES		DESIRED OUTCOME(S)	TIMELINE	IMPLEMENTING AGENCY(IES)	FUND SOURCE	RISKS & RISK MITIGATION PLAN
B. UPGRADING QUALITY O	UPGRADING QUALITY OF PUBLIC HIGHER EDUCATION						
4. Strengthening	Upgrade standards to inter-	100% of SUC sub-standard	Relevant and	6 years	CHED	HEDF	Rtak: Possible political intervention and resistance
Quality Assurance	nationally comparable levels,	& poor performing programs	globally comparable	(2011-2016)	SUCs		from affected administrators and students
in SUCS & LUCs	strengthen monitoring and	closed or phased out	higher education		Accrediting bodies		Mitigation: Continuous advocacy/information
4.1 Monitoring and	enforcement, and promote		institutions,				dissemination and dialogues
evaluation	program accreditation	improved performance of	graduates, R&D				
4.2 Phase out/closure		leading SUCs in licensure	outputs and				
of sub-standard		exams up to 50% passing	services				
programs		in priority disciplines					
4.3 Accreditation		(within their mandated					
		areas)					
		4.25 additional programs					
		accredited		_			
5. Faculty	To upgrade qualification of	2,500 faculty scholars		6 years	CHED	HEDF	Risk: Some institutions may not release faculty for
Development	SUC facuity to Masters and	and continuing professional		(2011-2016)	Delivering HEIs		full time study due to lack of substitutes
Program	Ph D levels	education (CPE)			SUCs		(esp in disciplines with few specialists)
		beneficiaries					Mitigation: Considering other modes of delivery to
							allow faculty to teach while studying
6. Upgrading leading							
SUCs to international							
standards							
6.1 Upgrading and	To build up capacity of SUCs for	upgraded science laboratories,		5 years	CHED, Leading	DAP	Risk: Low absortive capacity of some SUCs and COA
modernization of	delivery of quality higher	libraries, ICT capability and			State Universities		liquidation requirements for availment of
infrastructure facilities	education services	systems, R&D equipment/			(SUS), DPWH		subsequent grants/fund release
and equipment of		facilities					Mitigation: Relevant capability building activities
leading SUCS	To improve the efficiency of	Leading (Tier 1)					
	SUC management & operations	Leading (Tier 2)					
6.2 Grants-in-Aid	To build up research	15 RDE centers established		6 years		HEDF	Risk: Absortive capacity of SUCs may be constrained
for Research Development	capability & improve research	50 SUC RDE programs funded;		(2011-2016)		D <b>A</b> P	by reluctance of institution to deload faculty for research
and Extension (RDE)	productivity of SUCs	500 Intellectual Properties					Mitigation: Adoption of mechanisms to enable SUCs to
		(IPs)- publications or patents,					augment teaching staff and deload faculty to do
		produced					research

PROGRAMS/ PROJECTS ACTIVITIES	OBJECTIVES	TARGET OUTPUT(S)	DESIRED OUTCOME(S)	TIMELINE	IMPLEMENTING AGENCY(IES)	FUND SOURCE	RISKS & RISK MITIGATION PLAN
6.3 Centers of Excellence	To identify/recognize/reward	125 SUC COEs/CODs		6 years	СНЕД	HEDF	Risk: Identification/recognition of COEs/Ds may be
& Centers of Development	colleges or departments that	identified and supported		(2011-2016)			delayed pending completion of the revised quality
Programs	demonstrate excellence in						assurance policy
	particular disciplines, to serve						ביים ביים ביים ביים ביים ביים ביים ביים
	as models and resource centers						assurance policy
	for other HEIs						
. ENHANGING ACCESS							
7. Modernizing facilities	To enhance the quality and	Upgraded academic buildings	Broadened access	6 years	CHED	d <b>V</b> O	Risk: Low absortive capacity of some SUCs and COA
of developing SUCs	Standards of instruction and		to quality higher	(2011-2016)	88 developing		liquidation requirements for availment of
	extension functions of		education		SUCS, DPWH		subsequent grants/fund release
	developing SUCs	Improved instruction		5 years			Mikigation: Relevant capability building activities
		facilities, expanded library					
	To facilitate the delivery of	holdings and facilities, ICT					
	instruction and extension	equipment and systems of:					
	services	37 SUCs (Levels 3-4)					
		51 SUCS (Levels 1-2)					
	To improve the efficiency of						
	SUC management and						
	operations						
8. Student financial	To provide financial support	55,360 student		6 years	CHED, DSWD	HEDF	
assistance programs	for poor but deserving students	beneficiaries		(2011-2016)	22 feading	GAA	
	who wish to enroll in priority				state	D <b>A</b> P	
	courses				universities		
D. CROSS CUTTING							
9. Strengthening public	To enhance capability of	2550 SUCs managers trained	Professionalized	6 years	Development	d <b>W</b> O	Risk: Political influence in selection of SUC officials
HEI management thru	public HEI managers to		management of	(2011-2016)	Academy of		Mitigation: Institutionalization of a career system
Executive Development	implement reforms	Higher Education Academy	HEIS		the Philippines		for SUCs managers
Program (EDP)		established			& selected		
					delivering HEls		

#### **BUDGETARY REQUIREMENTS (in Million Pesos)**

Initiatives	Fund Source	2011-2012	2013	2014	2015	2016	Total
	HEDF (1)	22.00	24.20	26.62	29.28	32.21	134.31
1 For Efficiency	GIA for RDE (2)	56.00	61.60	67.76	74.54	81.99	341.89
	subtotal	78.00	85.80	94.38	103.82	114.20	476.20
	HEDF (3)	286.00	314.60	346.06	380.67	418.73	1,746.06
	DAP						
	GIA for RDE for Leading	504.00					504.00
	Infra and Facilities upgrading for Leading GAA CHED	2,631.00					2,631.00
2 For Quality	NAFES and Faculty Development	97.16	106.88	117.56	129.32	142.25	593.17
	Growth Areas GAA SUCs	500.00					500.00
	Infra and Facilities upgrading for Leading		2,894	3,183.40	3,501.74	3,851.91	13,431.05
	GIA for RDE for Leading		554	609.40	670.34	737.37	2,571.11
	subtotal	4,018.16	3,869.48	4,256.42	4,682.07	5,150.27	21,976.40
	HEDF (4)	88.60	13.29	1.99	0.00	0.00	103.88
	DAP						
	GIA for poverty	500.00					500.00
	alleviation  Modernizing Facilities  of Developing SUCs	427.80					427.80
3 For Access	GAA CHED						
3 FOLACCESS	STUFAP	60.34	72.41	86.90	709.28	780.21	1,709.14
	GIA for poverty alleviation			550.00			550.00
	GAA SUCs						
	Modernizing Facilities of Developing SUCs		470.58	517.64	569.40	626.34	2,183.96
	subtotal	1,076.74	556.28	1,156.53	1,278.68	1,406.55	5,474.78
4 Crosscutting	DAP - Executive Development Program (5)	165.00	100.00	110.00	121.00	133.10	629.10
	subtotal	165.00	100.00	110.00	121.00	133.10	629.10
	TOTAL	5,337.90	4,611.56	5,617.33	6,185.57	6,804.12	28,556.48
						-	

<sup>(1)</sup> HEDF allocation for Institutional Capacity Building for SUCs

<sup>(2)</sup> Disbursement Acceleration Program Grants-In-Aid for SUCs - RDE, retained by PIDs

<sup>(3)</sup> HEDF allocation for COE/COD, Quality Assurance, Research and Faculty Development Programs (FDP) for SUCs

<sup>(4)</sup> HEDF allocation for STUFAP

<sup>(5)</sup> Released through Development Academy of the Philippines

#### **APPENDICES**

#### Higher Education Reform Agenda (HERA) Strategic Directions

- 1. Restructuring higher education institutions by amalgamation along regional systems and specified institutions;
- 2. Developing and implementing a typology of HEIs and a developmental incentives scheme to support quality assurance;
- Rationalizing programs through moratorium/phase-out of oversubscribed, inefficient, duplicative programs and support of priority programs;
- 4. Leveling the playing field in higher education through harmonization between public and private HEIs;
- 5. Institutionalizing and strengthening partnership with Basic Education;
- 6. Reviewing organizational structures and rationalizing resources for higher education;
- 7. Strengthening quality assurance;
- 8. Upgrading of qualifications of faculty;
- 9. Achieving excellence and global competitiveness;
- 10. Enhancing institutional governance through an executive development program;
- 11. Strengthening student financial assistance programs; and
- 12. Optimizing roles in poverty alleviation and social development.

# INITIATIVES, PERFORMANCE INDICATORS AND MILESTONES 2011-2016

APPENDIX B

37 1120	1				MILESTONES	TONES		
OBICLIVE		PERFORMANCE INDICATORS	2011	2012	2013	2014	2015	2016
A. Improve Efficiency: Rationalization of Public HEI System	A. Improve Efficiency: 1. Rationalizing the number, Rationalization of distribution and growth of SUCs and Public HEI System LUCs							
	to the second of	* Blueprint for PHEIs system	devel	developed				
	L.L Mapping of public HEIS and programs	* % of completion of GIS based map	100%		contí	continously updated	ted	
	1.2. Piloting of governance and	* No. of Regional University System (RUS) pilot tested			4			
	restructuring models	* Specialized universities pilot tested			2			
	2. Rationalization of SUC/ LUC program offerings							
	2.1. Typology of HEI	* Typology framework	developed	initially implemented	a	all SUCs and LUCs classified	JCs classifiec	
	2.2. SUC Leveling	* updated/enhanced SUC Leveling instrument						
		* No. of SUCs classified by level of development/performance		All SUCs classified by level	iffed by level			
	2.3. Review, phase out/ closure of inefficient, duplicative programs	* % of irrelevant, inefficient and duplicative programs ordered for phase out/closure	30%	%		100%	%	
	2.4. Development of priority programs	*No. of priority programs developed	ဧ			2		

		Sact And an animal and and a			MILESTONES	<b>FONES</b>		
OBICLINE	HALLALIVE	PERFORMANCE INDICATORS	2011	2012	2013	2014	2015	2016
A. Improve Efficiency: Rationalization of Public HEI System	A. Improve Efficiency: 3. Rationalizing resource utilization Rationalization of and maximizing resource generation Public HEI System by SUCs							
	3.1. Normative Financing	* Revised Normative Financing (NF) formula	_	completed	applied on 100% SUCs MOOE and simulated on PS	fully applie	fully applied on both MOOE and PS	IOOE and
	3.2. Development and implementation of socialized tuition fee scheme	* Socialized Tuition Fee Scheme		developed	oped	oliq	piloted in 10 SUCs	cs
		* No. of SUCs with viable IGP			22 Leading SUCs	ng SUCs		
	3.3. Asset inventory and	Eco-Education Zones			2			
	management for resource generation	% of leading SUCs budget covered by internally generated income	33			20		
	3.4 Digitization and automation of SUC operations, in line with GIFMIS	automation % of SUCs capacitated (with digitized I line with and automated operations) & compliant with GIFMIS			20% of SUCs		All SUCs	
B. Upgrade quality of public higher	B. Upgrade quality of 4. Strenghtening quality assurance public higher in SUCs and LUCs							
education	4.1. Monitoring and evaluation	<ul><li>% passing of SUC graduates in evaluation licensure examinations in priority disciplines</li></ul>	31 (2010)			20		
	4.2. Phase out/ closure of substandard programs	* % of SUC sub-standard and non- performing programs closed or phased out		20		80		
_	4.3. Accreditation	* No. of SUCs programs supported for accreditation	_	85		340	0	

a) uLUJi do					MILESTONES	ONES	
OBICTIVE	INITIALIVE	PERFORMANCE INDICATORS	2011	2012	2013	2014 2015	5 2016
B. Upgrade quality of public higher	B. Upgrade quality of 5. Upgrading the qualifications and public higher competency of faculty						
education	5.1. Faculty Development Program	* No. of Faculty scholars and Continuing Professional Education (CPE) beneficiaries		500		2,000	
	<ul> <li>6. Upgrading leading SUCs to international standards</li> </ul>						
	6.1. Establishment of research and development (R & D) centers with state of the art facilities	* No. of research centers established and supported	S			10	
	6.2. Grants-in-Aid for R & D	* No. of SUCs R & D programs funded		15		30	
	6.3. Grants-in-Aid for Extension	* No. of SUCs extension programs conducted for the NAPC identified focus municipalities for adaptation/ transfer of technologies for adaptation/ transfer of technologies for enhancing productivity and quality of life, Improving social service and promoting environmental protection, climate change mitigation and disaster risk reduction				ω	
	6.4. Center of Excellence/ Development Program	* No. of SUCs programs identified as COEs/ CODs and processed	45 processed	pesse	150 pro	150 programs identified/ processed	rocessed
		* No. of COEs/ CODs supported	25	-		100	
B. Upgrade quality of public higher education		* No. of SUCs in top 500 universities in Asia	-				m 

	2016			
	2012   20		8	00 ion Academy
MILESTONES	2014	88	44,000	2,000 Higher Education Academy
MIL	2013			
	2012		11,360	550
	2011			
SOCTANICIAL SOLABARDOS DE SE	PENFORMANCE INDICATORS	* No. of developing SUCs supported	* No. of student grantees	* No. of SUC managers trained Higher Education Academy established
BAIT (ATIN)		C. Enhance access to 7. Modernizing facilities of quality higher developing state universities and education colleges (SUCs) 7.1. Support to developing SUCs outside highly urbanized areas	8. STUFAPS	9. Strengthening Public HEI Management thru Executive Development Program
OBIECTIVE	OBECIIVE	C. Enhance access to quality higher education		D. Cross cutting



# Republic of the Philippines OFFICE OF THE PRESIDENT COMMISSION ON HIGHER EDUCATION

EXCERPTS FROM THE MINUTES OF THE 385° REGULAR COMMISSION MEETING HELD ON THE 9° DAY OF JANUARY 2012 AT THE HEDC CONFERENCE ROOM HEDC BUILDING, C.F. GARCIA AVE., U.P., DILIMAN, QUEZON CITY

#### RESOLUTION No. 010 - 2012

RESOLVED, AS IT IS HERERY RESOLVED, that upon its discussion and agreement, and in view of the favorable endorsement of the Management Committee, the Commission approved the Disbursement Acceleration Plan for CHED for the Additional Budget of State Universities and Colleges (SUCs). The approved list of leading SUC recipients is hereto-attached as ANNEX A.

RESOLVED FINALLY, AS IT IS HEREBY FINALLY RESOLVED, that upon its deliberation and in view of the agreement that Quality and Excellence shall be the only criteria for the first cut, the Commission approved the following criteria in determining the first batch/ cut of leading SUCs qualified for the Disbursement Acceleration Plan (DAP):

- 1. Must be SUC Level IV and III only; and
- Must have at least one (1) Center of Excellence or two (2)
   Centers of Development; and
- 3. Must have at least seven (7) Level fil Accredited programs; or
- Must be identified Philippine Higher Education Research Network (PHERNet) or Higher Education Research Center (HERRC).

PATRICIA B. LICUANAN

Chairperson and Presiding Officer

385 Regular Commission En Banc Meeting

9 January 2012 4

Bona S. Ricaport

Commissionet/

REXALTH P. DEPENSO

Commissioner

WELTAN C. MEDRAHO

Commissioner



# Republic of the Philippines OFFICE OF THE PRESIDENT COMMISSION ON HIGHER EDUCATION

EXCERPTS FROM THE MINUTES OF THE 396<sup>th</sup> REGULAR COMMISSION MEETING. HELD ON THE 26<sup>th</sup> DAY OF MARCH 2012 AT THE HEDG CONFERENCE ROOM HEDG BUILDING, C.P. GARCIA AVE., U.P., DILIMAN, QUEZON CITY

#### RESOLUTION No. 069 - 2012

RESOLVED, AS IT IS HEREBY RESOLVED, that upon full deliberation and in view of the favorable recommendation of the Management Committee (ManCom), the Commission approved the following criteria for the selection of the List of Leading State Universities and Colleges (SUCs) 2nd Tier:

- 1. COE or COD; and
- 2. SUC Level III or IV; or
- 3. Best SUC relative to other SUCs in the region.

**RESOLVED FIRALLY, AS IT IS HEREBY FIRALLY RESOLVED,** that based on the above criteria, the Commission **approved** the following SUCs, which qualified for the 2<sup>nd</sup> Tier:

- 1. Palawan State University:
- 2. Western Mindanao State University: and
- 3. University of Southeastern Philippines

PATRICIA B. LICUANAN

Chairperson and Presiding Officer
390% Regular Commission En Banc Meeting

26 March 2012 💅

NONA S. RICAPORT

Commissioner

Continuo

WILLIAM C. MEDRANO

Commissioner

MARIA CYNTHIA ROSE E. BAUTISTA

KNSO R

Commissioner

#### LIST OF LEADING UNIVERSITIES INCLUDED IN THE FIRST CUT/BATCH OF THE CHED DISBURSEMENT ACCELERATION PLAN (Based on Excellence)

REGION	, STATE UNIVERSITIES AND COLLEGES (SUCs)
ı	Mariano Marcos State University  Don Mariano Marcos Memorial State University
li li	isabela State University Nueva Vizcaya State University
191	Central Luzon State University
iV-A	Cavite State University
IV-B	A STATE OF THE PROPERTY OF THE
V	Bicol University Central Bicol State University
٧١	West Visayas State University
VII	Cebu Normal University
Viii	Visayas State University  Leyte Normal University
1X	
Х	Central Mindanao University Mindanao University of Science and Technology
X	The state of the s
XII	University of Southern Mindanao
CAR	Benguet State University
CARAGA	
NCR	Philippine Normal University
ARMM	Mindanao State University System
National	University of the Philippines System

/RP

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#### LIST OF TIER I DEVELOPING STATE UNIVERSITIES AND COLLEGES

:	State Universities and Colleges	Region
1	Pangasinan State University	l l
2	University of Northern Philippines	I
3	Cagayan State University	11
4	Bataan Peninsula State University	111
5	Bulacan State University	III
6	Nueva Ecija University of Science and Technology	HII
7	Pampanga Agricultural College	III
8	Philippine Merchant Marine Academy	III
9	Ramon Magsaysay Technological University	IX
10	Tarlac College of Agriculture	111
_	Tarlac State University	111
12	Batangas State University	IV
	University of Rizal System	IV
14	Western Philippine University	IV
15	Partido State University	V
16	Catanduanes State Colleges	V
17	Capiz State University	VI
18	University of Antique	VI
19	Western Visayas College of Science and Technology	VI
20	Cebu Technological University	VII
21	Negros Oriental State University	VII
	Eastern Samar State University	VIII
23	Eastern Visayas State University	VIII
_	Palompon Institute of Technology	VIII
	Naval State University	VIII
26	Northwest Samar State University	VIII
27	University of Eastern Philippines	VIII
	Samar State University	VIII
29	Bukidnon State University	IX
30	Davao Oriental State College of Science and Technology	XI
_	Sultan Kudarat State University	XII
32	Ifugao State University	CAR
33	Kalinga Apayao State College	CAR
34	Mountain Province State Polytechnic College	CAR
35	Technological University of the Philippines	NCR
36	Polytechnic University of the Philippines	NCR
37	Rizal Technological University	NCR

#### LIST OF TIER 2 DEVELOPING STATE UNIVERSITIES AND COLLEGES

	State Universities and Colleges	Region
1	Ilocos Sur Polytechnic State College	1
2	North Luzon Philippine State College	I
3	Batanes State University	II
4	Quirino State College	11
5	Aurora State College	111
6	Don Honorio Ventura Technological State University	HI
7	Bulacan Agricultural State College	III
8	Laguna State Polytechnic University	IV-A
9	Southern Luzon State University	IV-A
10	Marinduque State College	IV-B
11	Mindoro State College of Agriculture and Technology	IV-B
12	Occidental Mindoro State College	IV-B
13	Romblon State University	IV-B
14	Camarines Norte State College	V
15	Camarines Sur Polytechnic College	V
16	Dr. Emilio B. Espinosa Sr. Memorial State College	V
17	Sorsogon State College	V
18	Aklan State University	VI
19	Carlos Hilado Memorial State College	VI
20	Iloilo State College of Fisheries	VI
21	Northern Iloilo Polytechnic State College	VI
22	Northern Negros State College of Science and Technology	VI
23	Guimaras State College	VI
24	Negros State College of Agriculture	VII
25	Bohol Island State University	VII
26	Siquijor State College	VII
27	Southern Leyte State University	VIII
28	Zamboanga City State Polytechnic College	IX

#### LIST OF TIER 2 DEVELOPING STATE UNIVERSITIES AND COLLEGES

	State Universities and Colleges	Region
29	Zamboanga State College of Marine Science and Technology	IX
30	Jose Rizal Memorial State University	IX
31	Basilan State College	IX
32	J.H. Cerilles State College	IX
33	Camiguin Polytechnic State College	XII
34	Misamis Oriental State College of Agriculture and Technology	XII
35	Northwest Mindanao State College of Agriculture and Technology	XII
36	Davao Del Norte State College	XII
37	Southern Philippine Agri-Business Marine and Aquatic School of Tech	XII
38	Cotabato City State Polytechnic College	XII
39	Cotabato Foundation College of Science and Technology	XII
40	Sulu State College	ARMM
41	Tawi-Tawi Regional Agriculture College	ARMM
42	Adiong Memorial Polytechnic State College	ARMM
43	Abra State Institute of Science and Technology	CAR
44	Apayao State College	CAR
45	Agusan Del Sur State College of Agriculture and Technology	CARAGA
46	Surigao Del Sur State University	CARAGA
47	Surigao State College of Technology	CARAGA
48	CARAGA State University	CARAGA
49	Eulogio "Amang" Rodriguez Institute of Science and Technology	NCR
50	Philippine State College of Aeronautics	NCR
51	Marikina Polytechnic College	NCR

# COVENANT ON PHILIPPINE PUBLIC HIGHER EDUCATION REFORM

We, the Presidents of the 110 state universities and colleges of the Philippines have gathered today May 17, 2012 at the Higher Education Development Center in Diliman, Quezon City in the presence of His Excellency President Benigno S. Aquino III, to manifest our commitment and support to reform in public higher education.

We are aware of the fundamental and long-running deficiencies of Philippine higher education: the lack of overall vision, framework and plan; deteriorating quality; and limited access.

We recognize that Philippine higher education should expand and enhance career and life chances and choices for students and that higher education and its institutions should be placed in the full service of national development.

We acknowledge the role of higher education in national development as an instrument for poverty alleviation and as a vehicle for technologically-driven development and competitiveness.

We accept the strategic thrusts of higher education reform of the Aquino government:

to rationalize higher education, improve its internal and external efficiency, optimize resource utilization and maximize resource generation;

to improve quality and standards, raise the level of educational outcomes and increase the social relevance of its developmental functions; and

to expand access to quality higher education among lower income and disadvantaged groups.

Thus, we commit ourselves and our institutions to pursuing and institutionalizing the reforms proposed in the Roadmap of Public Higher Education Reform 2011-2016 as follows:

- 1. Rationalizing the number, distribution and growth of public higher education institutions
- 2. Rationalizing program offerings
- 3. Rationalizing resource utilization, maximizing resource generation and improving financial management
- 4. Strengthening quality assurance
- 5. Upgrading qualifications of faculty
- 6. Upgrading leading SUCs to international standards
- 7. Modernizing facilities of developing SUCs
- 8. Strengthening student financial assistance programs
- 9. Strengthening public higher education management through an Executive Development Program

We further promise that these reforms as well as all aspects of our work will be pursued and performed with utmost honesty, integrity and ethical leadership and that we will be worthy stewards and partners in the great task of building our nation.

Dr. Ricardo É. Rotoras

President, PASUC

Dr. Olympio V. Caparas

Vicé-President for NCR

Dr. Miriam E. Pascua

Vice-President for Luzon

Atty. Mar P/ De Asis

Vice-President for Visayas

Dr. Perfecto A. Alibin

Vice-President for Mindanao



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Untversity of Northern Philippines

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Tarlac College of Agriculture

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University of Rizal System

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Marinduque State College



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Occidental Mindgro State College

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President

Rombion State University

DR. ELSA P. MANARPAAC

President

Western Philippines University

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Président

. Bicol Uniyers<del>it</del>y

OR WENIFREDO T. ONATE

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#### COMMISSION ON HIGHER EDUCATION MAY 17, 2012

ŔĔĠĬŎ

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President

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# COMMISSION ON HIGHER EDUCATION MAY 17, 2012

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